

**Board of Regents & State Building Commission**  
**Approval Authority for Matters Related**  
**to Capital Budget and Planning**

	REQUIRED APPROVAL	BOR POLICY REF.	SBC POLICY REF.	WIS. STAT. REF.	NOTES
<b>Campus Boundary Changes</b>	BOR	N/A	N/A		N/A
<b>Campus Development Plans</b> (Includes boundaries, exterior development, transportation, space use, utilities, etc.)	BOR (Phy. Plan. & Funding Comm.)	N/A	N/A		Institution presents updated plan to BOR when meetings are held at that campus. No SBC action required.
<b>Oversight of Facilities Constructed for University Purposes</b>		92-10	Chapter II, N	16.85(12)	DOA reviews all plans and specs and monitors construction for compliance.
<b>Land Transactions:</b>		94-3	Chap. VIII, C.	13.48(14)(d)4	
• Within boundaries	Assoc. VP and SBC				Includes purchase, trade, acceptance of gift, sale of land (if BOR designates as surplus.)
• Outside boundaries	BOR & SBC				After BOR adjusts boundary
• Over \$20,000	BOR & SBC				Requires Jt. Finance approval. If no reply in 14 days = approval.
<b>Gifts</b>					
• Gift with value of > \$30,000 of land, facility or new construction to benefit UW.	BOR & SBC	90-3	Chap. II, M & N	13.48(2)(b)1m.	
<b>Leases for University Purposes</b>				16/48(5) 13.48(19)	
• Under \$500,000	AVP	93-1 Resol 8875			Section refers to policy under Admin. Officers authority to sign contracts
• Over \$500,000	BOR	93-1.5 Resol 8875			
• Construction of new Space	BOR & SBC	93-1.8 Resol 8875	Chap. VIII, I. 2		
• > 10,000 ASF new lease	BOR & SBC	93-1.8 Resol 8875	Chap. VIII, I. 2		
• Initial term exceeds 5 yrs., excluding options	BOR & SBC	93-1.8 Resol 8875	Chap. VIII, I. 2		
<b>Accessible Improvements</b>			Chap. VIII, H	66.60(4) 66.64(2)(a)	
• Under \$50,000	AVP				
• Over 50,000	AVP to SBC				
<b>Easements</b>					
• Benefiting University	AVP	N/A	N/A		
• No Benefit to University	BOR	N/A	N/A		

SUBJECT	REQUIRED APPROVAL	BOR POLICY REF.	SBC POLICY REF.	WIS. STAT. REF.	NOTES
<b>Naming Facilities</b>					
• Entire Building or Additions	BOR	96-1	N/A	N/A	
• Parts of buildings or grounds	Chancellor	96-1	N/A	N/A	
<b>Decommission or Demolition of Facilities</b>					
• Current value < \$100 K	Chancellor	86-2	Chap. IX.F		DOA Secretary if current value < \$100,000
• Current value > \$100 K	BOR and SBC	86-2	Chap. IX (3)		* SBC approval required if: <ul style="list-style-type: none"> <li>• Demo. cost &gt; \$100,000</li> <li>• funded by BTF,</li> <li>• current value &gt; \$500,000</li> <li>• historic bldg. (ss.44.40)</li> </ul>
<b>Facilities Funding Policies</b>	BOR	90-3	N/A		To be reviewed infrequently, as needed
• Determine viability of projects that use gifts		90-3			
• PR contribution to central campus utilities		79-2, D and 90-3			
• Prorating costs for heating/chilling plants		79-2			
• Funding for Intercollegiate Athletic Facility projects		74-12 and 84-4		20.285(1)(db)	
<b>Establish Capital Budget Policies and Priorities</b>	BOR (biennially)	N/A	N/A		DOA issues biennial Capital Budget instructions and priorities
<b>Approve Biennial Budget</b>	BOR to SBC (biennially - even years)				
<b>Implement Capital Budget</b>					
• Project Planning/Design					
○ Using BTF	SBC only	N/A	Chapt. III, C.	13.48(5), 20.924 and 20.667(q)	
○ Using non-GPR	CPB to DOA	N/A	Chapt. III, C		
• Projects Under \$100 K	Campus Small Project Coordinator to DOA	85-6	Chapt. IV, F	13.48(29)	BOR policy extends to AVP the same authority as DOA Sec. AVP also extends authority for campus Small Project Coordinator to submit Small Projects to DOA.
• Projects over \$100 K					
○ All Agency Funds	SBC	N/A	Chapt. IV, A	20.924	AVP reports to BOR AA projects approved by SBC.
○ Gifts/campus funds	BOR SBC	90-3	Chapt. II, M Chapt. II, O Chapt. III, A-E		
○ Program Revenues	BOR SBC	90-3	Chapt. II, P Chapt. III-A-E		
○ Scope/Budget Changes	BOR SBC	N/A	Chapt. III, E		BOR does not approve changes to AA projects.

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<ul style="list-style-type: none"> <li>• Enumerated Major Projects <ul style="list-style-type: none"> <li>○ Approve Design Report</li> <li>○ Establish budgets &amp; funding sources</li> <li>○ Authorize construction</li> <li>○ Scope/budget changes</li> </ul> </li> </ul>	BOR SBC	N/A	Chapt. III, A-E	20.924	BOR makes recommendation on biennial Capital Budget in fall of even numbered years and submits to DOA. SBC makes recommendation on C.B in spring of odd numbered years.
<ul style="list-style-type: none"> <li>• Emergency Projects</li> </ul>	Gov., up to \$250K max. of BTF or any other source.	N/A	Chapt. IV, I	16.855(16)(b)2	Approvals reported to BOR and SBC
<b>UW Colleges Facilities</b>					
<ul style="list-style-type: none"> <li>• Development of Facilities</li> </ul>	BOR	88-7			
<ul style="list-style-type: none"> <li>• Equipment funding for facilities constructed by municipalities</li> </ul>	BOR and SBC	88-7		13.48(2)(f)	
<ul style="list-style-type: none"> <li>• Operating/staffing of facilities</li> </ul>		88-7			
<b>Long Range Plan for Maintenance of Physical Plant</b>	BOR	90-10	N/A	N/A	Adopted 10-yr. plant to seek sustainable funding level to improve priority setting, address maintenance backlog and prevent from reoccurring.
<b>Waive Bidding Process</b>	Projects > \$100K – SBC Projects < \$100K – DOA Sec.	N/A	Chap. IV, G	16.855 under 13.48(19)	
<b>Wisconsin Environmental Policy Act Implementation</b>	AVP	81-5	Chapt. VIII, E (Results of Environmental Audits of land acquisitions to be reported to the SBC.)		Policy refers to document entitled, “Implementation of the Wisconsin Environmental Policy Act Within the UW System,” which can be obtained in the Office of the Secretary of the Board of Regents.
<ul style="list-style-type: none"> <li>• Environmental Impact Statement</li> </ul>					
<ul style="list-style-type: none"> <li>• Floodplain Compliance</li> </ul>					